

Financial Adviser Profile

Overview

I love all things SMSF and believe the future is all about technology. Being in accounting from aged 15 I have seen a lot of changes in this time. My passion is working closely with clients to assist with achieving smooth management of SMSFs from setup to closure, specialising around Estate planning, a passion of mine.

Anthea Wainman is a Sub-Authorised Representative of AWT SMSF Services Pty Ltd, Corporate Authorised Representative No. 1303035. Authorised Representative No. 1270270.

Qualifications

Anthea Wainman holds an Associate Diploma of Business Accounting, Certificate in Business Studies and meets the competency requirements under ASICs Regulatory Guide RG 146. Anthea also holds accreditation in SMSF Advice.

Authorisations

Anthea Wainman is authorised to:

- provide financial product advice on self managed superannuation funds
- arrange to deal in an interest in a self managed superannuation fund
- provide financial product advice on superannuation products in relation to a person's existing holding in a superannuation product but only to the extent required for:
 - making a recommendation that the person establish a self managed superannuation fund; or
 - providing advice to the person on contributions or pensions under a superannuation product
- provide class of product advice on the following:
 - superannuation products
 - basic deposit products.



Anthea Jayne Wainman

AWT SMSF Services Pty Ltd
Level 2, 52 Davidson Terrace
Joondalup WA 6027

Office Phone: (08) 9303 8900

Anthea@awtsmsf.com.au

Financial Adviser Profile

AWT SMSF Services Advice Fees and Charges

Anthea will be paid financial planning advice fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Anthea's fee for the preparation of advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Where financial planning advice fees are payable, Capstone Financial Planning will receive all revenue in the first instance.

AWT SMSF Services pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Anthea Wainman is a salaried employee of AWT SMSF Services and will receive a salary from this company.

Other Benefits Anthea Wainman May Receive

From time to time Anthea may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

Version 4.0